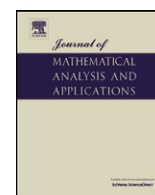




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The finite cardinalities of level sets of the Takagi function

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ABSTRACT

Let T be Takagi's continuous but nowhere-differentiable function. It is known that almost all level sets (with respect to Lebesgue measure on the range of T) are finite. We show that the most common cardinality of the level sets of T is two, and investigate in detail the set of ordinates y such that the level set at level y has precisely two elements. As a by-product, we obtain a simple iterative procedure for solving the equation $T(x) = y$. We show further that any positive even integer occurs as the cardinality of some level set, and conjecture that all even cardinalities occur with positive probability if an ordinate y is chosen at random from the range of T . The key to the results is a system of set equations for the level sets, which are derived from the partial self-similarity of T . These set equations yield a system of linear relationships between the cardinalities of level sets at various levels, from which all the results of this paper flow.

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1. Introduction

Let $\phi(x) = \text{dist}(x, \mathbb{Z})$ be the distance from the point x to the nearest integer. Takagi's function is defined by

$$T(x) = \sum_{n=0}^{\infty} \frac{1}{2^n} \phi(2^n x). \quad (1.1)$$

It is continuous but nowhere differentiable (Takagi [17], Hildebrandt [8], de Rham [16], Billingsley [5]), though it does possess an infinite derivative at many points (see Allaart and Kawamura [3] or Krüppel [12] for a precise characterization).

In recent years, there has been a great deal of interest in the level sets

$$L(y) := \{x \in [0, 1]: T(x) = y\}, \quad y \geq 0$$

of the Takagi function restricted to the unit interval. Kahane [9] had already shown in 1959 that the maximum value of T is $\frac{2}{3}$, and that $L(\frac{2}{3})$ is the set of all $x \in [0, 1]$ whose binary expansion $x = 0.b_1b_2b_3\dots$ satisfies $b_{2i-1} + b_i = 1$ for all $i \in \mathbb{N}$. This is equivalent to saying that the quaternary expansion of x contains only 1's and 2's. As a result, $L(\frac{2}{3})$ is a Cantor set of Hausdorff dimension $\frac{1}{2}$. Surprisingly, however, a more general study of the level sets of T was not undertaken until fairly recently, when Knuth [11, p. 103] published an algorithm for generating solutions of the equation $T(x) = y$ for rational y . (It is however not known whether his algorithm always halts.) Buczolic [6] showed, among other things, that almost all level sets of T (with respect to Lebesgue measure) are finite. Shortly afterwards, Maddock [15] proved that the Hausdorff dimension of any level set is at most 0.668, and conjectured an upper bound of $\frac{1}{2}$. This upper bound was very recently verified by de Amo et al. [4]. Lagarias and Maddock [13,14] introduced the concept of a *local level set* to prove a number of new results. For instance, they showed that $L(y)$ is countably infinite for a dense set of y -values; that the *average* cardinality

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of all level sets if infinite; and that the set of ordinates y for which $L(y)$ has strictly positive Hausdorff dimension is of full Hausdorff dimension 1. Combined with the result of Buczolic, these results sketch a complex picture of the totality of level sets of the Takagi function.

In a related paper by the present author [1], shorter proofs are given for some of the above-mentioned results and the level sets are examined from the point of view of Baire category. One of the main results is that the typical level set of T is uncountably large, thus providing a further contrast with Buczolic's theorem.

While thus far, most of the research has focused on the sizes of the infinite level sets (both in terms of cardinality and Hausdorff dimension), the present article aims to give new insight in the cardinalities of the *finite* level sets of T . We investigate in detail the set of ordinates y for which $L(y)$ has precisely two elements, and show that this is the most common possibility. We then show that every positive even number occurs as the size of some level set of T , and conjecture that all even cardinalities occur with positive probability if an ordinate y is chosen at random from $[0, \frac{2}{3}]$.

The paper is organized as follows. Section 2 introduces notation and recalls some important facts about the Takagi function, including its functional equation and partial self-similarity. Section 3 shows that the level sets satisfy a system of set equations, which are fundamental to the results in this paper. From the set equations, we immediately obtain simple linear relationships between the cardinalities of level sets at various levels.

Section 4 deals with those level sets having exactly two elements. From the fundamental set equations of Section 3 we quickly obtain a precise characterization of the set $S_2 := \{y: |L(y)| = 2\}$. Since this characterization is somewhat abstract, we present several easier to verify conditions which are either sufficient or necessary for membership in S_2 . Next, we show that the Lebesgue measure of S_2 is between $5/12$ and $35/72$. From a probabilistic point of view, this means that if an ordinate y is chosen at random in the range $[0, \frac{2}{3}]$, the probability that $|L(y)| = 2$ is more than $5/8$, or 62.5%, but less than $35/48$, or 72.9%. In particular, 2 is the most common cardinality of level sets of T in the sense of measure.

In Section 5 we show that for every positive integer n , there are uncountably many level sets of T with cardinality $2n$. We conjecture that the Lebesgue measure of the set $S_{2n} := \{y: |L(y)| = 2n\}$ is positive for each n , but are able to prove this only for the case when n is either a power of 2, or the sum or difference of two powers of 2. In view of its technical nature, the proof of this last result is given elsewhere; see [2].

In Section 6 we introduce the *Takagi expansion* of a point y in $[0, \frac{2}{3}]$, based on the notation developed in Section 4, and use it to give a simple iterative procedure for solving the equation $T(x) = y$. We also point out a direct connection between Takagi expansions and the local level sets of Lagarias and Maddock [13].

2. Preliminaries

In this paper, $|\cdot|$ will always denote cardinality; the diameter of a set A will be denoted by $\text{diam}(A)$.

We first recall some known facts about the Takagi function, and introduce important notation. One of the foremost tools for analyzing the Takagi function is its functional equation – see, for instance, Kairies et al. [10].

Lemma 2.1 (The functional equation). (i) The Takagi function is symmetric about $x = \frac{1}{2}$:

$$T(1-x) = T(x) \quad \text{for all } x \in [0, 1]. \quad (2.1)$$

(ii) The Takagi function satisfies the functional equation

$$T(x) = \begin{cases} \frac{1}{2}T(2x) + x, & \text{if } 0 \leq x \leq \frac{1}{2}, \\ \frac{1}{2}T(2x-1) + 1-x, & \text{if } \frac{1}{2} \leq x \leq 1. \end{cases} \quad (2.2)$$

It is well known, but not needed here, that T is also the unique bounded solution of (2.2).

Next, we define the *partial Takagi functions*

$$T_k(x) := \sum_{n=0}^{k-1} \frac{1}{2^n} \phi(2^n x), \quad k = 1, 2, \dots \quad (2.3)$$

Each function T_k is piecewise linear with integer slopes. In fact, the slope of T_k at a non-dyadic point x is easily expressed in terms of the binary expansion of x . We define the binary expansion of $x \in [0, 1)$ by

$$x = \sum_{n=1}^{\infty} \frac{\varepsilon_n}{2^n} = 0.\varepsilon_1\varepsilon_2\dots\varepsilon_n\dots, \quad \varepsilon_n \in \{0, 1\},$$

with the convention that if x is dyadic rational, we choose the representation ending in all zeros. For $k = 0, 1, 2, \dots$, let

$$D_k(x) := \sum_{j=1}^k (1 - 2\varepsilon_j) = \sum_{j=1}^k (-1)^{\varepsilon_j}$$

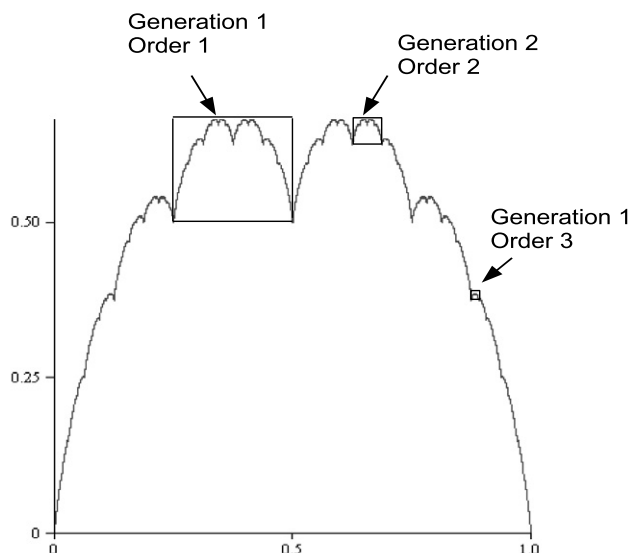


Fig. 1. The graph of T , with humps of various orders and generations highlighted. The rectangles shown are, from left to right, $K(1/4)$, $K(5/8)$ and $K(7/8)$. Note that in binary, $1/4 = 0.01$, $5/8 = 0.1010$, and $7/8 = 0.111000$.

denote the excess of 0 digits over 1 digits in the first k binary digits of x . Then it follows directly from (1.1) that the slope of T_k at a non-dyadic point x is $D_k(x)$.

The first part of the following definition is taken from Lagarias and Maddock [13].

Definition 2.2. A dyadic rational of the form $x = 0.\varepsilon_1\varepsilon_2\dots\varepsilon_{2m}$ is called *balanced* if $D_{2m}(x) = 0$. If there are exactly n indices $1 \leq j \leq 2m$ such that $D_j(x) = 0$, we say x is a balanced dyadic rational of *generation* n . By convention, we consider $x = 0$ to be a balanced dyadic rational of generation 0.

The next lemma states in a precise way that the graph of T contains everywhere small-scale similar copies of itself. Let

$$\mathcal{G}_T := \{(x, T(x)) : 0 \leq x \leq 1\}$$

denote the graph of T over the unit interval $[0, 1]$.

Lemma 2.3 (Self-similarity). Let $m \in \mathbb{N}$, and let $x_0 = k/2^{2m} = 0.\varepsilon_1\varepsilon_2\dots\varepsilon_{2m}$ be a balanced dyadic rational. Then for $x \in [k/2^{2m}, (k+1)/2^{2m}]$ we have

$$T(x) = T(x_0) + \frac{1}{2^{2m}} T(2^{2m}(x - x_0)).$$

In other words, the part of the graph of T above the interval $[k/2^{2m}, (k+1)/2^{2m}]$ is a similar copy of the full graph \mathcal{G}_T , reduced by a factor $1/2^{2m}$ and shifted up by $T(x_0)$.

Proof. This follows immediately from the definition (1.1), since the slope of T_{2m} over the interval $[k/2^{2m}, (k+1)/2^{2m}]$ is equal to $D_{2m}(x_0) = 0$, and $T(x_0) = T_{2m}(x_0)$. \square

Definition 2.4. For a balanced dyadic rational $x_0 = k/2^{2m}$ as in Lemma 2.3, define

$$I(x_0) = [k/2^{2m}, (k+1)/2^{2m}], \quad J(x_0) = T(I(x_0)),$$

$$K(x_0) = I(x_0) \times J(x_0),$$

$$H(x_0) = \mathcal{G}_T \cap K(x_0).$$

By Lemma 2.3, $H(x_0)$ is a similar copy of the full graph \mathcal{G}_T ; we call it a *hump*. Its height is $\text{diam}(J(x_0)) = \frac{2}{3}(\frac{1}{4})^m$, and we call m its *order*. By the *generation* of the hump $H(x_0)$ we mean the generation of the balanced dyadic rational x_0 . A hump of generation 1 will be called a *first-generation hump*. By convention, the graph \mathcal{G}_T itself is a hump of generation 0. If $D_j(x_0) \geq 0$ for every $j \leq 2m$, we call $H(x_0)$ a *leading hump*. See Fig. 1 for an illustration of these concepts.

3. The fundamental set equations

In this section we establish a system of set equations for the level sets $L(y)$. These equations, which describe the complex relationships between the level sets at various levels, hold the key to later results. We shall need the following notation.

First, define for $k \in \mathbb{N}$ the affine maps

$$f_k(x) = \frac{x}{4} + \frac{1}{2^k}$$

and

$$g_{k,j}(x) = \frac{x}{4^{k+j}} + \frac{1}{2^k} - \sum_{r=0}^j \frac{1}{4^{k+r}}, \quad j = 0, 1, 2, \dots$$

Next, for ease of notation, let

$$t_k := \frac{k}{2^k}, \quad k \in \mathbb{N}.$$

Observe that $t_1 = t_2 = \frac{1}{2}$, and thereafter t_k is strictly decreasing in k . Define intervals

$$I_2 := \left[\frac{1}{2}, \frac{2}{3} \right], \quad \text{and} \quad I_k := [t_k, t_{k-1}), \quad k \geq 3,$$

and note that $[0, \frac{2}{3}] = \{0\} \cup \bigcup_{k=2}^{\infty} I_k$. Define mappings $\psi_k : [0, \frac{2}{3}] \rightarrow [0, \infty)$ by

$$\psi_k(y) = 4(y - t_k)\chi_{I_k}(y)$$

for $k = 2, 3, \dots$, where χ_A denotes the characteristic function of the set A . Let $\Psi := \sum_{k=2}^{\infty} \psi_k$, and let Ψ^n denote the n -fold iteration of Ψ , with $\Psi^0(y) := y$. Note that Ψ maps I_2 onto $[0, \frac{2}{3}]$. For $k \geq 3$, we have

$$4(t_{k-1} - t_k) = 4\left(\frac{k-1}{2^{k-1}} - \frac{k}{2^k}\right) = \frac{k-2}{2^{k-2}} = t_{k-2},$$

so Ψ maps I_k onto $[0, t_{k-2}) = \{0\} \cup \bigcup_{j=k-1}^{\infty} I_j$. As a result, Ψ is a surjective self-map of $[0, \frac{2}{3}]$ which maps $[0, \frac{1}{2})$ onto itself.

Finally, define a map $\Phi : [0, \infty) \rightarrow [0, \infty)$ by

$$\Phi(y) := \begin{cases} 0, & \text{if } y = 0, \\ 4^k(y - t_k), & \text{if } y \in I_k \ (k = 3, 4, \dots), \\ 4(y - \frac{1}{2}), & \text{if } y \geq \frac{1}{2}. \end{cases}$$

Theorem 3.1. For $y \geq 0$, let

$$L_0(y) = \left\{ x \in \left[0, \frac{1}{2}\right] : T(x) = y \right\} = L(y) \cap \left[0, \frac{1}{2}\right].$$

(i) For all y ,

$$L(y) = L_0(y) \cup [1 - L_0(y)], \quad (3.1)$$

where the union is disjoint except when $y = \frac{1}{2}$.

(ii) For $y \in I_k$ ($k \geq 3$), we have

$$L_0(y) = f_k[L_0(\Psi(y))] \cup \bigcup_{j=0}^{\infty} g_{k,j}[L(4^j \Phi(y))], \quad (3.2)$$

where the union is completely disjoint except when $y = t_k$.

(iii) For $y \in I_2$,

$$L_0(y) = \bigcup_{j=0}^{\infty} g_{1,j}[L(4^j \Phi(y))], \quad (3.3)$$

with the union disjoint except when $y = \frac{1}{2}$.

Theorem 3.1, which is proved at the end of this section, has the following immediate consequence for the cardinalities of the level sets of T .

Corollary 3.2. (i) For each y ,

$$|L(y)| = 2|L_0(y)|. \quad (3.4)$$

(ii) If $y \in I_k$ for $k \geq 3$, then

$$|L_0(y)| = |L_0(\Psi(y))| + \sum_{j=0}^{\infty} |L(4^j \Phi(y))|. \quad (3.5)$$

(iii) If $y \in I_2$, then

$$|L_0(y)| = \sum_{j=0}^{\infty} |L(4^j \Phi(y))|. \quad (3.6)$$

Proof. It is well known (e.g. [13, Theorem 6.1]) that $L(\frac{1}{2})$ is countably infinite. Thus, (3.4) and (3.6) take the form $\infty = \infty$ for $y = \frac{1}{2}$. Similarly, if $y = t_k$ for $k \geq 3$, then it follows easily from (3.2) that both sides of (3.5) are infinite. For all other values of y , the equalities are obvious from the disjointness mentioned in Theorem 3.1. \square

To prove Theorem 3.1, we first need the following extension of Lemma 2.3.

Lemma 3.3. Let $x = 0.\varepsilon_1\varepsilon_2 \dots \varepsilon_{2m}$ be a balanced dyadic rational of order m such that $\varepsilon_{2m} = 1$. Define

$$x_j := x - \sum_{r=1}^j \frac{1}{4^{m+r}}, \quad j = 0, 1, 2, \dots$$

Then, for each $j \in \mathbb{N}$, the graph of T above the interval $[x_j, x_{j-1}]$ is a similar copy of \mathcal{G}_T , scaled by a factor $1/4^{m+j}$ and shifted vertically by $T(x)$. More precisely,

$$T(\xi) = T(x) + \frac{1}{4^{m+j}} T(4^{m+j}(\xi - x_j)), \quad \xi \in [x_j, x_{j-1}].$$

Proof. The binary expansion of x_j is $x_j = 0.\varepsilon_1\varepsilon_2 \dots \varepsilon_{2m-1}(01)^j1$. Since x is balanced of order m , $D_{2m}(x) = 0$, and hence $D_{2m+2j}(x_j) = 0$. Thus, x_j is a balanced dyadic rational of order $m+j$, and the statement of the lemma follows by Lemma 2.3. \square

The next lemma is a self-similarity result.

Lemma 3.4. Let $k \geq 2$. If

$$\frac{1}{2^k} \leq x \leq \frac{1}{2^{k-1}}, \quad (3.7)$$

then

$$T(x) = \frac{k}{2^k} + \frac{1}{4} T\left(4\left(x - \frac{1}{2^k}\right)\right). \quad (3.8)$$

Proof. If x satisfies (3.7), then iterating the first half of the functional equation (2.2) $k-1$ times yields

$$T(x) = \frac{1}{2^{k-1}} T(2^{k-1}x) + (k-1)x. \quad (3.9)$$

Similarly,

$$T\left(4\left(x - \frac{1}{2^k}\right)\right) = \frac{1}{2^{k-2}} T\left(2^k\left(x - \frac{1}{2^k}\right)\right) + 4(k-2)\left(x - \frac{1}{2^k}\right). \quad (3.10)$$

Now by the second half of (2.2),

$$T(2^{k-1}x) = \frac{1}{2}T(2^kx - 1) + 1 - 2^{k-1}x.$$

Substituting this into (3.9) yields

$$T(x) = \frac{1}{2^k}T(2^kx - 1) + \frac{1}{2^{k-1}} + (k-2)x. \quad (3.11)$$

From (3.10) and (3.11), (3.8) follows easily. \square

Lemma 3.5. Let $k \geq 2$. If $(\frac{1}{2})^k \leq x \leq \frac{1}{2}$, then

$$T(x) \geq T\left(\frac{1}{2^k}\right) = \frac{k}{2^k}.$$

Proof. Letting $x = 1/2^k$ in (3.8) we obtain $T(1/2^k) = k/2^k$. If $(\frac{1}{2})^k \leq x \leq \frac{1}{2}$, then we can find an integer l with $2 \leq l \leq k$ such that $(\frac{1}{2})^l \leq x \leq (\frac{1}{2})^{l-1}$. Since the slope of T_l over the interval $[(\frac{1}{2})^l, (\frac{1}{2})^{l-1}]$ is $D_l(x) = (l-1) - 1 = l-2 \geq 0$, we can conclude that

$$T(x) \geq T_l(x) \geq T_l\left(\frac{1}{2^l}\right) = T\left(\frac{1}{2^l}\right) = \frac{l}{2^l} \geq \frac{k}{2^k} = T\left(\frac{1}{2^k}\right),$$

where the last inequality follows since $k/2^k$ is nonincreasing. \square

Lemma 3.6. Let $k \geq 2$, and for $j = -1, 0, 1, \dots$, put

$$x_{k,j} := \frac{1}{2^k} - \sum_{r=0}^j \frac{1}{4^{k+r}},$$

where the empty sum is taken to be zero.

(i) If $j \geq 0$ and $x_{k,j} \leq x \leq x_{k,j-1}$, then

$$T(x) = T\left(\frac{1}{2^k}\right) + \frac{1}{4^{k+j}}T(4^{k+j}(x - x_{k,j})). \quad (3.12)$$

In other words, the portion of the graph of T above the interval $[x_{k,j}, x_{k,j-1}]$ is a similar copy of the whole graph of T , scaled by $1/4^{k+j}$ and positioned with its base at the level $y = T(1/2^k)$.

(ii) If

$$x < \lim_{j \rightarrow \infty} x_{k,j} = \frac{1}{2^k} - \frac{1}{3 \cdot 4^{k-1}},$$

then $T(x) < T(1/2^k)$.

Proof. (i) Note that $x_{k,0} = 0.0^k 1^k$, so $x_{k,0}$ satisfies the hypothesis of Lemma 3.3 with $m = k$. Thus, (3.12) is a consequence of Lemmas 2.3 and 3.3.

(ii) We prove the second statement by induction. First, if $x < \lim_{j \rightarrow \infty} x_{2,j} = \frac{1}{6}$, then

$$T(x) = \frac{1}{2}T(2x) + x < \frac{1}{2} \cdot \frac{2}{3} + \frac{1}{6} = \frac{1}{2} = T\left(\frac{1}{4}\right). \quad (3.13)$$

(This was observed also by Lagarias and Maddock [13, Section 7].)

For the induction step, we first show that for $k \geq 2$,

$$\text{if } x < \frac{1}{2^{k+1}}, \text{ then } T(x) < \frac{k}{2^k}. \quad (3.14)$$

This holds for $k = 2$ in view of (3.13). Suppose (3.14) holds for some arbitrary $k \geq 2$, and let $x < 1/2^{k+2}$; then

$$T(x) = \frac{1}{2}T(2x) + x < \frac{1}{2} \cdot \frac{k}{2^k} + \frac{1}{2^{k+2}} = \frac{2k+1}{2^{k+2}} < \frac{k+1}{2^{k+1}}.$$

Thus, by induction, (3.14) holds for every $k \geq 2$.

Suppose now that statement (ii) is true for some arbitrary $k \geq 2$. If $x < 1/2^{k+1}$, then $T(x) < k/2^k = T(1/2^k)$ by (3.14). On the other hand, if

$$\frac{1}{2^{k+1}} \leq x < \frac{1}{2^k} - \frac{1}{3 \cdot 4^{k-1}},$$

we can apply Lemma 3.4: Since

$$4\left(x - \frac{1}{2^{k+1}}\right) < \frac{1}{2^{k-2}} - \frac{1}{3 \cdot 4^{k-2}} - \frac{1}{2^{k-1}} = \frac{1}{2^{k-1}} - \frac{1}{3 \cdot 4^{k-2}},$$

the induction hypothesis gives

$$T\left(4\left(x - \frac{1}{2^{k+1}}\right)\right) < T\left(\frac{1}{2^{k-1}}\right) = \frac{k-1}{2^{k-1}}.$$

Thus, by Lemma 3.4 applied with $k+1$ in place of k ,

$$\begin{aligned} T(x) &= \frac{k+1}{2^{k+1}} + \frac{1}{4}T\left(4\left(x - \frac{1}{2^{k+1}}\right)\right) \\ &< \frac{k+1}{2^{k+1}} + \frac{1}{4} \cdot \frac{k-1}{2^{k-1}} = \frac{k}{2^k} = T\left(\frac{1}{2^k}\right), \end{aligned}$$

completing the proof. \square

Proof of Theorem 3.1. Statement (i) is obvious. To prove statement (ii), fix $y \in I_k$ with $k \geq 3$. We can divide $L_0(y)$ in three parts, namely its intersections with the intervals $[0, (\frac{1}{2})^k]$, $[(\frac{1}{2})^k, (\frac{1}{2})^{k-1}]$ and $[(\frac{1}{2})^{k-1}, \frac{1}{2}]$. By Lemma 3.4,

$$L_0(y) \cap \left[\left(\frac{1}{2}\right)^k, \left(\frac{1}{2}\right)^{k-1}\right] = f_k[L_0(\Psi(y))],$$

since, for $T(x) \in I_k$, (3.8) can be written as $\Psi(T(x)) = T(f_k^{-1}(x))$. By Lemma 3.6,

$$L_0(y) \cap \left[0, \left(\frac{1}{2}\right)^k\right] = \bigcup_{j=0}^{\infty} g_{k,j}[L(4^j \Phi(y))],$$

since (3.12) can be written as $4^j \Phi(T(x)) = T(g_{k,j}^{-1}(x))$. Finally,

$$L_0(y) \cap \left[\left(\frac{1}{2}\right)^{k-1}, \frac{1}{2}\right] = \emptyset$$

in view of Lemma 3.5, applied with $k-1$ in place of k . Thus, we have (3.2). It is easy to check that all parts of the union are disjoint provided $y \neq t_k$.

Statement (iii) follows similarly from Lemmas 3.4 and 3.6 (take $k=2$) by considering the intersection of $L_0(y)$ with $[0, \frac{1}{4}]$ and $[\frac{1}{4}, \frac{1}{2}]$, respectively. The parts of the union are disjoint as long as $y \neq \frac{1}{2}$. \square

4. Level sets with exactly two elements

In this section we focus on the set

$$S_2 := \left\{y \in \left[0, \frac{2}{3}\right] : |L(y)| = 2\right\}.$$

We establish conditions for membership in this set and obtain bounds on its Lebesgue measure.

First, define a function $\kappa : [0, \frac{2}{3}] \rightarrow \{2, 3, \dots, \infty\}$ by

$$\kappa(y) = \begin{cases} \text{the number } k \text{ such that } y \in I_k, & \text{if } 0 < y \leq \frac{2}{3}, \\ \infty, & \text{if } y = 0, \end{cases}$$

and let

$$\kappa_n(y) := \kappa(\Psi^n(y)), \quad n = 0, 1, \dots, \quad y \in \left[0, \frac{2}{3}\right].$$

It is plain from the graph of T that $|L(y)| \geq 4$ for $\frac{1}{2} \leq y \leq \frac{2}{3}$. It is also clear that $|L(0)| = 2$. Thus, we need only consider points y with $0 < y < \frac{1}{2}$. Note that for points in this interval, $\kappa_n(y) \geq 3$ for each n .

Theorem 4.1. Let $0 < y < \frac{1}{2}$. Then $|L(y)| = 2$ if and only if

$$\Phi(\Psi^n(y)) > \frac{2}{3} \quad \text{for all } n \geq 0. \quad (4.1)$$

Proof. The theorem is an easy consequence of Theorem 3.1. Let $y_n := \Psi^n(y)$, and $k_n := \kappa_n(y) = \kappa(y_n)$, for $n = 0, 1, 2, \dots$. Suppose that (4.1) holds. Then $4^j \Phi(y_n) > \frac{2}{3}$ for all $j \geq 0$, so (3.2) gives $L_0(y_n) = f_{k_n}(L_0(y_{n+1}))$ for each n . But then

$$L_0(y) = f_{k_0} \circ f_{k_1} \circ \dots \circ f_{k_{n-1}}(L_0(y_n)),$$

for each n . This implies

$$\text{diam } L_0(y) = \text{diam}(f_{k_0} \circ f_{k_1} \circ \dots \circ f_{k_{n-1}}(L_0(y_n))) \leq \frac{1}{2} \left(\frac{1}{4}\right)^n \rightarrow 0.$$

Hence $|L_0(y)| = 1$, and so $|L(y)| = 2$. This proves the “if” part.

Conversely, if there is an n such that $\Phi(y_n) \leq \frac{2}{3}$, then $L(\Phi(y_n)) \neq \emptyset$. But then $|L_0(y_n)| \geq 2$ by (3.5), so that

$$|L_0(y)| \geq |f_{k_0} \circ f_{k_1} \circ \dots \circ f_{k_{n-1}}(L_0(y_n))| \geq 2.$$

Thus $|L(y)| \geq 4$, proving the “only if” part. \square

While the condition in Theorem 4.1 is exact, it is in general difficult to verify. The following corollary gives a useful and easy-to-check sufficient condition in terms of the binary expansion of y .

Corollary 4.2. Let $0 < y < \frac{1}{2}$ such that y is not a dyadic rational, and suppose the binary expansion of y does not contain a string of three consecutive 0's anywhere after the occurrence of its first 1. More precisely, write $y = \sum_{n=1}^{\infty} 2^{-n} \omega_n$ with $\omega_n \in \{0, 1\}$, and suppose there do not exist indices k and l with $k < l$ such that $\omega_k = 1$, and $\omega_l = \omega_{l+1} = \omega_{l+2} = 0$. Then $|L(y)| = 2$.

Proof. Define y_n and k_n as in the proof of Theorem 4.1. We claim that for each $n \geq 0$, the binary expansion of y_n does not have three consecutive zeros anywhere past its k_n -th digit. This is obvious for $n = 0$. Suppose it holds for some $n \geq 0$. Then, since

$$y_{n+1} = 4 \left(y_n - \frac{k_n}{2^{k_n}} \right),$$

the binary expansion of y_{n+1} will not have three consecutive zeros anywhere past its $(k_n - 2)$ -nd digit. Therefore, since $k_{n+1} \geq k_n - 1$, the binary expansion of y_{n+1} certainly does not have three consecutive zeros anywhere past its k_{n+1} -st digit, proving the claim.

Since $k_n \geq 3$, it now follows that for each n ,

$$\Phi(y_n) = 4^{k_n} \left(y_n - \frac{k_n}{2^{k_n}} \right) \geq 4^{k_n} \left(\frac{1}{2} \right)^{k_n+3} = 2^{k_n-3} > \frac{2}{3}.$$

Hence, by Theorem 4.1, $|L(y)| = 2$. \square

Thus, for instance, the level sets at levels $\frac{1}{3}, \frac{1}{5}, \frac{2}{5}, \frac{1}{6}, \frac{1}{7}, \frac{2}{7}, \frac{3}{7}$ all have precisely two elements. It is clear from Corollary 4.2 that there are uncountably many ordinates y having this property. In fact, there exist uncountably many such ordinates in each interval I_k , where $k \geq 3$. But the corollary does not imply that the set S_2 has positive Lebesgue measure. This stronger statement will follow, however, from Theorem 4.5 below.

The following corollary gives a slightly weaker sufficient condition and an accompanying necessary condition, which together nearly characterize which y have $|L(y)| = 2$ in terms of the sequence $\{k_n\}$.

Corollary 4.3. Let $y \in (0, \frac{1}{2})$, and let $k_n := \kappa_n(y)$. If

$$k_{n+1} \leq 2k_n + \log_2 k_n + \log_2 3 - 2$$

for each n , then $|L(y)| = 2$. In particular, $|L(y)| = 2$ if the sequence $\{k_n\}$ at most doubles at each step; that is, if $k_{n+1} \leq 2k_n$ for each n . On the other hand, if

$$k_{n+1} \geq 2k_n + \log_2 k_n + \log_2 3$$

for some n , then $|L(y)| > 2$.

Proof. Let $y_n = \psi^n(y)$, and suppose that for some n , $\Phi(y_n) \leq \frac{2}{3}$; that is,

$$y_n - \frac{k_n}{2^{k_n}} \leq \frac{2}{3} \left(\frac{1}{4} \right)^{k_n}. \quad (4.2)$$

Then

$$\frac{3}{2^{k_{n+1}}} \leq \frac{k_{n+1}}{2^{k_{n+1}}} \leq y_{n+1} = 4 \left(y_n - \frac{k_n}{2^{k_n}} \right) \leq \frac{2}{3} \left(\frac{1}{4} \right)^{k_n-1},$$

from which it follows that $2^{k_{n+1}} \geq (9/2)4^{k_n-1} > 4^{k_n}$, and hence $k_{n+1} > 2k_n$. Putting this back into the lower estimate above gives

$$\frac{2k_n}{2^{k_{n+1}}} < \frac{k_{n+1}}{2^{k_{n+1}}} \leq \frac{2}{3} \left(\frac{1}{4} \right)^{k_n-1},$$

so that $2^{k_{n+1}} > 3k_n 4^{k_n-1}$. Taking logarithms, we obtain $k_{n+1} > 2k_n + \log_2 3 - 2$.

For the second statement, we use the fact that

$$\text{if } k \geq \log_2 u + \log_2 \log_2 u + 1 \text{ for } u \geq 4, \text{ then } \frac{k}{2^k} \leq \frac{1}{u}. \quad (4.3)$$

This follows since $k/2^k$ is nonincreasing, and $\log_2 \log_2 u + 1 \leq \log_2 u$ when $u \geq 4$.

If (4.2) fails for some n , then the definition of k_{n+1} gives

$$\frac{k_{n+1} - 1}{2^{k_{n+1}-1}} > y_{n+1} = 4 \left(y_n - \frac{k_n}{2^{k_n}} \right) > \frac{2}{3} \left(\frac{1}{4} \right)^{k_n-1},$$

so applying (4.3) with $u = \frac{3}{2} \cdot 4^{k_n-1}$, it follows that

$$\begin{aligned} k_{n+1} - 1 &< \log_2 \left(\frac{3}{2} \cdot 4^{k_n-1} \right) + \log_2 \log_2 \left(\frac{3}{2} \cdot 4^{k_n-1} \right) + 1 \\ &= 2k_n + \log_2 3 - 2 + \log_2 (2k_n + \log_2 3 - 3) \\ &< 2k_n + \log_2 k_n + \log_2 3 - 1. \end{aligned}$$

Hence, $k_{n+1} < 2k_n + \log_2 k_n + \log_2 3$. \square

Corollary 4.3 implies, for example, that $|L(y)| = 2$ whenever y is the fixed point of a composition $\psi_{k_n} \circ \psi_{k_{n-1}} \circ \cdots \circ \psi_{k_1}$ with $k_{j+1} \leq 2k_j$ for $j = 1, \dots, n-1$, and $k_1 \leq 2k_n$. This leads to many more examples. In particular, the fixed point of each ψ_k with $k \geq 4$ has this property. (Only ψ_3 does not have a fixed point in $[0, \frac{1}{2})$.) It is easy to calculate that the fixed point of ψ_k is

$$y_k^* := \frac{4t_k}{3} = \frac{k}{3 \cdot 2^{k-2}}, \quad k \geq 4.$$

Note that, surprisingly perhaps, every third number in this sequence is a dyadic rational. For instance, $y_6^* = 1/8$, $y_9^* = 3/2^7$, $y_{12}^* = 1/2^8$, etc.

Example 4.4. The binary expansion of $1/11$ is $0.\overline{0001011101}$, which does not satisfy the “no 3 zeros” condition of Corollary 4.2. But $1/11$ is the fixed point of the ten-fold composition $\psi_4^3 \circ \psi_5^2 \circ \psi_6 \circ \psi_5^2 \circ \psi_6 \circ \psi_7$. Thus, by Corollary 4.3, $|L(1/11)| = 2$.

An intriguing question, which is a variant of one raised by Knuth [11, Exercise 83], is: given a rational y , can one always determine in a finite number of steps whether $|L(y)| = 2$? If the sequence $\{(k_n, y_n)\}$ is eventually periodic, then one has to check the condition (4.1) for only finitely many n . But there are in fact many rational numbers y for which $\{k_n\}$ never repeats: take, for example, $y = T(1/7) = 22/49$, which has $k_n = n + 3$ for every n . For this y , Corollary 4.3 nonetheless gives $|L(y)| = 2$.

Having given several specific examples of ordinates y with $|L(y)| = 2$, we now investigate the Lebesgue measure and topological structure of S_2 .

Theorem 4.5. The set S_2 is nowhere dense and G_δ . It is not closed. Its Lebesgue measure $\lambda(S_2)$ satisfies

$$\frac{5}{12} < \lambda(S_2) < \frac{35}{72}. \quad (4.4)$$

To prove the theorem, we need to count the first-generation humps of order m . This involves the *Catalan numbers*

$$C_n := \frac{1}{n+1} \binom{2n}{n}, \quad n = 0, 1, 2, \dots,$$

which satisfy the identity

$$\sum_{n=0}^{\infty} C_n \left(\frac{1}{4}\right)^n = 2. \quad (4.5)$$

Lemma 4.6. For each $m \in \mathbb{N}$, the graph \mathcal{G}_T contains precisely C_{m-1} first-generation leading humps of order m .

Proof. Each hump of order m corresponds uniquely to a path of m steps starting at $(0, 0)$, taking steps $(1, 1)$ or $(1, -1)$, and ending at $(2m, 0)$. It is well known that exactly C_m of these paths stay on or above the horizontal axis (see Feller [7, p. 73]). Now each first-generation leading hump of order m corresponds to a path with first step $(1, 1)$ and last step $(1, -1)$, and which stays strictly above the horizontal axis in between these two steps. By translation, this is the same as the number of paths from $(0, 0)$ to $(2m-2, 0)$ which do not go below the horizontal axis; this number is therefore C_{m-1} . \square

Proof of Theorem 4.5. Recalling Definition 2.2, let \mathcal{B} denote the set of all balanced dyadic rationals in $[0, 1)$. Observe that S_2 is obtained from $[0, \frac{2}{3}]$ by removing the projections onto the y -axis of all first-generation humps, of which there are countably many. (Recall that these projections are intervals of the form $J(x_0)$, where $x_0 \in \mathcal{B}$.) Hence, S_2 is G_δ . It is not closed, because, for example, the point $\frac{1}{2}$ does not lie in S_2 but can be approximated from below by points in S_2 (take $x = 0.01^m(01)^\infty$, for instance, which is in S_2 by Corollary 4.2, and let $m \rightarrow \infty$). That S_2 is nowhere dense is shown in [1, Theorem 4.2].

To estimate the measure of S_2 , we show that

$$\frac{13}{72} < \lambda\left(\bigcup_{x_0 \in \mathcal{B}} J(x_0)\right) < \frac{1}{4}. \quad (4.6)$$

For the lower bound, note that by Theorem 4.1, the collection $\{J(x_0) : x_0 \in \mathcal{B}\}$ contains the disjoint family of intervals $\{J_1, J_3, J_4, \dots\}$, where $J_k := [t_k, t_k + \frac{2}{3}(\frac{1}{4})^k]$. (The interval J_2 is contained in J_1 , which is just $[\frac{1}{2}, \frac{2}{3}]$.) Thus,

$$\lambda\left(\bigcup_{x_0 \in \mathcal{B}} J(x_0)\right) \geq \text{diam}(J_1) + \sum_{k=3}^{\infty} \text{diam}(J_k) = \frac{1}{6} + \sum_{k=3}^{\infty} \frac{2}{3} \left(\frac{1}{4}\right)^k = \frac{13}{72}.$$

Since S_2 is nowhere dense, there are intervals $J(x_0)$ which are not contained in $\bigcup_{k=1}^{\infty} J_k$, so we have in fact strict inequality in the first half of (4.6).

The upper bound uses a simple counting argument. For each $m \in \mathbb{N}$ there are C_{m-1} first-generation leading humps by Lemma 4.6. However, by Lemma 3.3 each first-generation leading hump H of order m has directly to its left an infinite sequence of smaller first-generation leading humps, of orders $m+1, m+2, \dots$, which we call *subsidiary humps*. We need not count these, since their projections onto the y -axis are contained in that of H . Consequently, a first-generation leading hump of order m should not be counted if it is a subsidiary hump to a first-generation leading hump of order $m-1$. Of these, there are exactly C_{m-2} . Setting $C_{-1} := 0$, we thus obtain the upper estimate

$$\begin{aligned} \lambda\left(\bigcup_{x_0 \in \mathcal{B}} J(x_0)\right) &\leq \sum_{m=1}^{\infty} (C_{m-1} - C_{m-2}) \cdot \frac{2}{3} \left(\frac{1}{4}\right)^m \\ &= \left(\frac{2}{3} \cdot \frac{1}{4} - \frac{2}{3} \cdot \frac{1}{4^2}\right) \sum_{n=0}^{\infty} C_n \left(\frac{1}{4}\right)^n = \frac{1}{4}, \end{aligned} \quad (4.7)$$

where the last equality uses (4.5). Here too we have in fact strict inequality, as some of the intervals $J(x_0)$ counted in (4.7) overlap each other.

Since $\lambda(S_2) = \frac{2}{3} - \lambda(\bigcup_{x_0 \in \mathcal{B}} J(x_0))$, the estimate (4.4) follows. \square

Remark 4.7. The bounds for $\lambda(S_2)$ on both sides can be somewhat improved by examining more closely the degree of overlap between the first-generation removed intervals. However, the calculations become quite cumbersome, and it seems difficult to significantly narrow the interval of (4.4).

The result of Theorem 4.5 should not be surprising when one observes the graph of the Takagi function. The result of Buczolic [6] says that almost all level sets are finite, and it is certainly plausible that 2 is the most common cardinality.

5. General finite cardinalities

The previous section was concerned mainly with level sets consisting of exactly two points. It is natural to ask which other cardinalities are possible, and whether they occur with positive probability. Of course, the cardinality of any finite level set must be even, in view of the symmetry of the graph of T . The next theorem shows that conversely, every even positive integer is the cardinality of some level set of T .

Theorem 5.1. *For every positive integer n , there exist uncountably many ordinates y such that $|L(y)| = 2n$.*

Proof. By Corollary 4.2 (or Theorem 4.5) the statement is true for $n = 1$. We show here that, for each $m \in \mathbb{N}$, there are uncountably many level sets with cardinality $4m$, and uncountably many with cardinality $4m + 2$.

Let \hat{y} be a point in $(\frac{1}{6}, \frac{1}{2})$ satisfying the condition of Corollary 4.2; note that there are uncountably many such points. Let $m \in \mathbb{N}$, and put

$$y = \frac{1}{2} + \left(\frac{1}{4}\right)^m \hat{y}, \quad \text{and} \quad y' = \frac{3}{8} + \left(\frac{1}{4}\right)^{m+2} \hat{y}.$$

We first show that $|L(y)| = 4m$. Observe that for $j \geq m$, $4^j \Phi(y) = 4^{j-m+1} \hat{y} \geq 4\hat{y} > \frac{2}{3}$, while for $j < m$, $4^j \Phi(y) \leq \hat{y} < \frac{1}{2}$. Since $4^j \Phi(y)$ also satisfies the “no 3 zeros” condition of Corollary 4.2, it follows by (3.4) and (3.6) that $|L(y)| = 4m$.

Next, we show that $|L(y')| = 4m + 2$. Note that $y' \in I_3$, so $\Phi(y') = 4^3(y' - \frac{3}{8})$. Thus, we obtain again that $4^j \Phi(y') > \frac{2}{3}$ for $j \geq m$, while $4^j \Phi(y') < \frac{1}{2}$ for $j < m$. Since $4^j(y' - \frac{3}{8})$ satisfies the hypothesis of Corollary 4.2 for each $j \in \mathbb{N}$, we conclude that $|L_0(\Psi(y'))| = 1$, and

$$|L(4^j \Phi(y'))| = \begin{cases} 2, & \text{for } 0 \leq j < m, \\ 0, & \text{for } j \geq m. \end{cases}$$

Hence, by (3.5), $|L_0(y')| = 1 + 2m$, so that $|L(y')| = 4m + 2$. \square

For $n \in \mathbb{N}$, define the set

$$S_{2n} := \left\{ y \in \left[0, \frac{2}{3}\right] : |L(y)| = 2n \right\}.$$

In [1, Theorem 4.2], it is shown that S_{2n} is nowhere dense for each n , so these sets are small topologically speaking. On the other hand, the author believes they have positive Lebesgue measure.

Conjecture 5.2. *For every positive integer n , $\lambda(S_{2n}) > 0$.*

It is natural to try to use the construction in the proof of Theorem 5.1 as the basis for proving this conjecture, but this does not seem to work. In a longer version of this paper [2], the following partial answer to the conjecture is established by using a different approach which delves deeper into the hierarchical structure of humps.

Theorem 5.3. *Let k and l be positive integers with $l < k$. If either*

- (a) $2n = 2^k$, or
- (b) $2n = 2^k + 2^l$, or
- (c) $2n = 2^k - 2^l$,

then $\lambda(S_{2n}) > 0$.

6. Takagi expansions and solutions of $T(x) = y$

For nondifferentiable functions, finding even approximate solutions to the equation $T(x) = y$ is a nontrivial task, since there is no obvious replacement for Newton's method. Here we show, as a by-product of our analysis, how the sequence $\{k_n\}$ can be used to solve this problem for the Takagi function.

Definition 6.1. For a point $y \in [0, \frac{2}{3}]$, we call the sequence $\{k_n\}$ defined by $k_n = \kappa_n(y)$ the (canonical) *Takagi expansion* of y , and write $y = [k_0, k_1, \dots]$. If $k_i = k$ for all $i \geq n$, we write $y = [k_0, \dots, k_{n-1}, \bar{k}]$. Instead of the expansion $[k_0, \dots, k_n, \infty]$ we write simply $[k_0, \dots, k_n]$.

Example 6.2. We have $1/2 = [2]$, $1/3 = [\bar{4}]$, $2/3 = [\bar{2}]$, $3/8 = [3]$, $19/32 = [2, 3]$, $3/7 = [3, 5, 5, 4, 5, 5, 4, \dots]$.

The Takagi expansion of a point y can be used to approximate a solution to the equation $T(x) = y$. From the definition of k_n we see that

$$y = \sum_{n=0}^{\infty} \frac{k_n}{2^{k_n} 4^n} = \sum_{n=0}^{\infty} \frac{k_n}{2^{k_n+2n}}, \quad (6.1)$$

where we interpret the n -th term of the series as 0 when $k_n = \infty$. Put

$$x = \sum_{n=1}^{\infty} 2^{-l_n}, \quad l_n := k_{n-1} + 2(n-1), \quad n \in \mathbb{N}. \quad (6.2)$$

Then $T(x) = y$, as can be seen easily using Lemmas 3.4 and 3.5, induction, and the continuity of T .

For the canonical Takagi expansion, we have $k_n \geq 2$, $k_{n+1} \geq k_n - 1$, and if $k_n \geq 3$, then $k_{n+1} \geq 3$. With these requirements, the representation (6.1) is unique. However, we can obtain more solutions of $T(x) = y$ in $[0, \frac{1}{2}]$ (provided they exist) by relaxing the conditions on the sequence $\{k_n\}$. Specifically, we can drop the last requirement and demand merely that $k_n \geq 2$ and $k_{n+1} \geq k_n - 1$ for all n . This can yield alternative representations of the form (6.1), which we also call Takagi expansions and which correspond to different solutions of $T(x) = y$. The idea is based on the identity

$$\frac{k}{2^k} = \sum_{j=2}^{k+1} \frac{j}{2^j 4^{k-j+1}}, \quad (6.3)$$

which implies that $[k_0, \dots, k_{n-1}, k_n] = [k_0, \dots, k_{n-1}, k_n + 1, k_n, k_n - 1, \dots, 2]$. For instance, $y = 3/8$ has the representations $[3]$, $[4, 3, 2]$, $[4, 3, 3, 2]$, etc., corresponding to the solutions $x = 1/8$, $x = 7/64$ and $x = 27/256$, etc. Analogously, $y = 5/32 = [5] = [6, 5, 4, 3, 2]$. Starting with the canonical Takagi expansion of y , one can determine whether there exist additional representations as follows. If $4^{k_n}(y_n - t_{k_n}) > \frac{2}{3}$ for all n , then the Takagi expansion is unique. On the other hand, if for some n , $4^{k_n}(y_n - t_{k_n}) \leq \frac{2}{3}$, then y has an alternative Takagi expansion

$$y = [k_0, \dots, k_{n-1}, k_n + 1, k_n, k_n - 1, \dots, 2, k'_{n+k_n}, k'_{n+k_n+1}, \dots]. \quad (6.4)$$

To find it, put $y' = 4^{k_n}(y_n - t_{k_n})$, and let $k'_{n+k_n+j} = \kappa_j(y')$ for $j = 0, 1, \dots$. This procedure can be repeated for any Takagi expansion of y and at any position n such that $4^{k_n}(y_n - t_{k_n}) \leq \frac{2}{3}$. As an example, the point $y = 377/2048$ has canonical Takagi expansion $[3, 9]$, with corresponding solution $x = 257/2048$. Since $4^3(y - t_3) = 9/32 < 2/3$, and $9/32 = [4, \bar{6}]$, y has the additional Takagi expansion $[4, 3, 2, 4, \bar{6}]$, with corresponding solution $x = 1357/12288$. In general, a given point y may have finitely many, countably many or uncountably many Takagi expansions.

The solutions of $T(x) = y$ corresponding to different Takagi expansions of y are not only different, but represent different local level sets as defined by Lagarias and Maddock [13]. Define an equivalence relation \sim on $[0, 1]$ by saying that $x \sim x'$ if $|D_n(x)| = |D_n(x')|$ for all n . The local level set determined by x is the set $L_x^{\text{loc}} := \{x' \in [0, 1] : x' \sim x\}$. Points inside a local level set are easily obtained from one another by simple operations (“block flips”) on their binary expansions – see [13]. The size of a local level set in $L(y)$ can be inferred from the number of 2’s in the corresponding Takagi expansion of y : If the number 2 occurs exactly m times in the sequence $\{k_n\}$, then L_x^{loc} with x defined by (6.2) has exactly 2^{m+1} elements (provided that we “split” each dyadic rational point x in two separate points x_+ and x_- , corresponding to the two possible binary representations of x). If it occurs infinitely often, L_x^{loc} is uncountable. Moreover, the point x obtained via (6.2) is always the leftmost point of L_x^{loc} , as one checks easily that $D_n(x) \geq 0$ for all n . To summarize:

- The number of local level sets contained in $L(y)$ equals the number of distinct Takagi expansions of y ;
- The leftmost point of the local level set associated with Takagi expansion $y = [k_0, k_1, \dots]$ is the point x defined by (6.2);
- The cardinality of the local level set is determined by the number of 2’s in the associated Takagi expansion of y .

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